

**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE  
(DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371**

Docket No.: B-5036PCT 623561-1

International Application No.:  
PCT/AU2004/001831

Applicant(s) for DO/EO/US:

International Filing Date:  
23 December 2004

- (1) Simon John HENRY
- (2) Sean Gustav Standish HUGHES
- (3) Alan LAMBERT
- (4) Peter Charles PANTLING

Title of Invention: "A SYSTEM AND METHOD FOR FACILITATING A TRANSACTION"

Priority Date Claimed:  
23 December 2003

U.S. Application No.  
(if known, see 37 CFR 1.5)  
NOT YET ASSIGNED

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The U.S. Has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office.
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 with copy of PCT search report.
12. ☐ An assignment document for recording. A separate cover sheet with check for \$40.00 in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT rule 13ter.2 and 37CFR 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Unsigned Declaration/Power of Attorney (4 pp.)  
Copy of published PCT application (33 pages)  
Claim to Priority (1 page)

IAP2 Rec'd PCT/PTO 23 JUN 2006

The following fees are submitted:

21. ☒ Basic national fee.....\$ 300.00 = \$ 300.0022. ☒ Examination fee

If International preliminary examination report prepared by USPTO

and all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$ 100.00

All other situations..... \$ 200.00 = \$ 200.0023. ☒ Search fee

Search fee (37 CFR 1.445(a)(2)) has been paid on the international

application to the USPTO as an International Searching Authority.... \$ 100.00

International Search Report prepared and provided to the Office..... \$ 400.00

All other situations..... \$ 500.00 = \$ 400.00**TOTAL OF 21, 22 and 23 = \$ 900.00**

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra sheets	# of each additional 50 or fraction thereof	RATE	
_____ - 100 =	<u>0</u> / 50 =	_____	x \$250.00	\$ _____

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). \$ 0

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	<u>24</u> - 20 =	<u>4</u>	x \$50.00	\$ <u>200.00</u>
Independent claims	<u>2</u> - 3 =	<u>0</u>	x \$200.00	\$ <u>0</u>

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 \$ \_\_\_\_\_

☐ Amendment deleting multiple dependencies enclosed**TOTAL OF ABOVE CALCULATIONS = \$ 1,100.00**☐ Applicant claims small entity status. See 37 CFR 1.27.

The fees indicated above are reduced by 1/2. (50% of above) \$ \_\_\_\_\_

**SUBTOTAL = \$ 1,100.00**

Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$ \_\_\_\_\_

**TOTAL NATIONAL FEE = \$ 1,100.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h))..

The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ \_\_\_\_\_

**TOTAL FEES ENCLOSED = \$ 1,100.00**

Amount to be refunded: \$ \_\_\_\_\_

charged: \$ \_\_\_\_\_

☒ A check in the amount of \$ 1,100.00 to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

☒ The commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-0415. A duplicate copy of this sheet is enclosed.

☐ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Send all correspondence to:


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Richard P. Berg  
Reg. No. 28,145

IAP2 Rec'd PCT/PTO 23 JUN 2006

IN THE UNITED STATES DESIGNATED OFFICE DO/EO/US  
REGARDING THE INTERNATIONAL APPLICATION OF:

- (1) Simon John HENRY
- (2) Sean Gustav Standish HUGHES
- (3) Alan LAMBERT
- (4) Peter Charles PANTLING

ENTITLED: "A SYSTEM AND METHOD FOR FACILITATING A TRANSACTION"

INTERNATIONAL APPLN. NO. PCT/AU2004/001831

Our Ref: B-6036PCT 623561-1

MAIL STOP PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Attn: United States Designated/Elected Office (DO/EO/US)

INTERNATIONAL STAGE EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV300748508US

Date of Deposit: June 23, 2006

I hereby certify that the following attached papers or fee

- Postcard
- Check No. 21632 for \$1,100.00
- Transmittal Letter (2 pages)
- Copy of PCT International Application as published  
consisting of: Title page with Abstract (2 pp.), Specification (18 pp), Claims (5 pp),  
5 sheets of Drawings (Figs. 1-5), the International Search Report (3 pp.).
- Unsigned Declaration/Power of Attorney (4 pp)
- Preliminary Amendment (9 pp)
- Copy of the International Preliminary Report on Patentability (PCT Article 36) (11 pp.)
- Claim to Priority (1 page)

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above in an envelope addressed to "Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450"

Linda S. Santiago

